

Governance and Audit Committee

Risk Deep Dives

Which Risk will be considered at the Meeting:

- The Committee will only consider one strategic risk at a meeting and the Service will be advised of the risk to be considered.

Notice of Attendance at the Meeting:

- Democratic Services will provide 4 to 6 weeks notice of the need to attend a meeting to the Service involved.

What is the Role of the Committee:

- The Committee monitors the Strategic Risk Register on a quarterly basis.
- The purpose of the Deep Dive is for the Committee to gain a greater understanding of the risk.
- The role of the Committee is to act as a critical friend to the Service in relation to the risk and to support the service.
- The Committee's role is not to scrutinise the risk (operational responsibilities) which is a matter for the Council's Senior Management and the Council's Scrutiny Committees.
- The Committee would seek to exchange good practice with other services.

What will the Committee receive with the agenda for the meeting:

- The Committee will receive an extract of the Risk Register relating to the specific risk being considered.

What does the Service need to provide in advance of the meeting / or at the meeting:

1. **N.B. - Information to Democratic Services as to whether any of the content in the presentation to the Committee will be confidential or not so that the agenda can be structured accordingly.**
2. A Powerpoint presentation – either shared with the Committee in advance or on the day of the meeting.

What is expected of the Service at the meeting:
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1. The Service will make a presentation to the Committee.

The presentation at the meeting should be precise and coherent, and focus on the key issues and should last no longer than 10 minutes to allow time for the Committee to ask questions.

What should be covered in the Powerpoint presentation:

- (i) Background to the risk – so that the Committee can understand the context and why it has been identified as a strategic risk and included on the Strategic Risk Register, including.

- How was the risk identified.
- Why it is scored as set out on the register.
- What is the impact on clients, staff, reputational issues.

- (ii) Mitigating Actions:

- Why have the mitigating actions been selected to address the risk.
- What is the timeline target for the reduction of the risk?
- How do the mitigations contribute to reducing the risk.
- Are the mitigating actions having an effect
- Once the mitigations have been implemented what is the risk remaining and is the Cabinet content with the gap?
- If not, what other action is proposed and what are the timescales involved?

2. Responding to Questions by the Committee:

The Committee is likely to focus, though not necessarily exclusively, on the following issues:

- Impact of risk on delivering 'Reimagining Powys'
- Impact of risk on meeting statutory duties;
- Understand the impact of each of the mitigation actions on the inherent risk;
- How does this contribute to the assessment of the residual risk, is there still a gap left.
- How does this relate to the Council's risk appetite;
- Human Resources and workforce;
- Finance and budgets;
- Operational Performance;
- Systems and Processes;
- IT and Digital;
- Partnership issues, if relevant

The service should bring additional issues if relevant.

How does this work link with the work of Scrutiny Committees:

- The Committee will invite the Chairs of a relevant Scrutiny Committee to the meeting as there may be matters which the Governance and Audit Committee may recommend to a scrutiny committee for consideration.

What happens if the Committee is not content with the response received by a Service following a Deep Dive:

- Recommendations to Cabinet; or
- Recommendation for Scrutiny to work with the Service on any improvements; or
- Recommendations to EMT;